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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Paul Frederick Koeppe et al. Art Unit : 2836
Serial No. : 09/944,791 Examiner : Roberto Jose Rios Cuevas
Filed : August 31, 2001
Title : VOLTAGE RECOVERY DEVICE FOR USE WITH A UTILITY POWER NETWORK

Commissioner for Patents
P.O. Box 1450
Washington, D.C. 20231

TRANSMITTAL OF PAPER COPIES OF REFERENCES

Enclosed are copies of an Information Disclosure Statement and PTO-1449 filed July 21, 2004 by facsimile. Included for reference are copies of the facsimile cover sheet and transmission report.

Also enclosed are paper copies of references listed on form PTO-1449, which was attached to the Information Disclosure Statement.

Respectfully submitted,

Date: July 23, 2004

Frank R. Occhiuti

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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Michelle M. Buckley
Typed or Printed Name of Person Signing Certificate

JUL 28 2004

Attorney's Docket No.: 05770-145001 / AMSC-533 D-VAR

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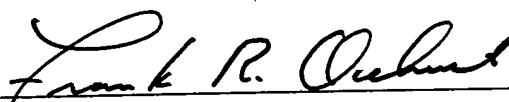
INFORMATION DISCLOSURE STATEMENT

Applicant submits reference AP listed on the attached form PTO-1449 with the facsimile transmission of this Information Disclosure Statement on July 21, 2004. Paper copies of all references, including AP, listed on the attached 1449 will be mailed to the PTO with the cover letter entitled, "Transmittal of Paper Copies of References."

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. Please apply a charge of \$180 as payment for the late submission fee of §1.17(p) to deposit account 06-1050, reference 05770-145001.

Respectfully submitted,

Date: July 21, 2004

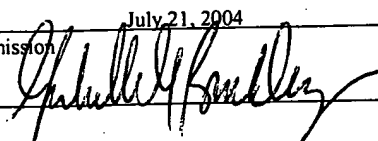

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JUL 28 2004

Sheet 1 of 1

Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
05770-145001Application No.
09/944,791**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Paul Frederick Koeppe et al.Filing Date
August 31, 2001Group Art Unit
2836**U.S. Patent Documents**

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|-----------------|------------------|-----------------|-------|----------|----------------------------|
| | AA | 4,128,805 | 12/05/78 | Lanz | | | |
| | AB | 4,328,551 | 05/04/82 | Yamamura et al. | | | |
| | AC | 5,329,221 | 07/12/94 | Schauder | | | |
| | AD | 5,329,222 | 07/12/94 | Gyugyi et al. | | | |
| | AE | 5,343,139 | 08/30/94 | Gyugyi et al. | | | |
| | AF | 5,351,181 | 09/27/94 | Brennan et al. | | | |
| | AG | 5,541,498 | 07/30/96 | Beckwith | | | |
| | AH | 5,566,085 | 10/15/96 | Marceau et al. | | | |
| | AI | 5,610,501 | 03/11/97 | Nelson et al. | | | |
| | AJ | 5,631,545 | 05/20/97 | Norman et al. | | | |
| | AK | 5,969,509 | 10/19/99 | Thorvaldsson | | | |
| | AL | 6,359,423 | 03/19/02 | Noro | | | |
| | AM | 6,577,108 | 06/10/03 | Hubert et al. | | | |

Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | AN | 5-30686 | 02/05/93 | Japan | | | | |
| | AO | WO96/19025 | 06/20/96 | WIPO | | | | |

Other Documents (include Author, Title, Date, and Place of Publication)

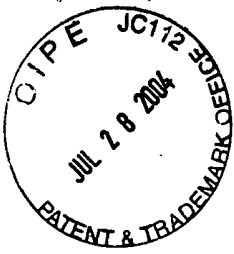
| Examiner Initial | Desig. ID | Document |
|------------------|-----------|---|
| | AP | Sasaki et al., "A Study on Optimal Placement Problem of Fuel Cells into Distribution Systems, <i>IEEE</i> , vol. 114-B, no. 5, 1994, pp. 491-497. |

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)



Attorney's Docket No.: 05770-145001
Client's Ref. No.: AMSC-533 D-VAR

OFFICIAL COMMUNICATION FACSIMILE:

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Number of pages including this page 10

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Serial No. : 09/944,791
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Examiner : Roberto Jose Rios Cuevas

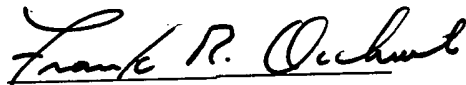
Title : VOLTAGE RECOVERY DEVICE FOR USE WITH A UTILITY POWER
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Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is an Information Disclosure Statement, Form PTO-1449, and reference entitled, "A Study on the Optimal Placement Problem of Fuel Cells in Distribution Systems," faxed this 21st day of July, 2004, to the United States Patent and Trademark Office.

Date: July 21, 2004

Respectfully submitted,


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